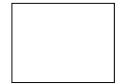
EXAM NO.	

Univ. of Houston Law Center 12/17/2013; 9:00 a.m-12:00 p.m. (215 TU2)

FALL 2013 Pg. 1 of 11

# FINAL EXAMINATION $\label{eq:final_examination}$ International Intellectual Property $Prof.\ Vetter$



## 1. Essay Exam

A. This examination consists of three sections, each of which presents an independent (i) problem, (ii) issue, or (iii) opportunity to discuss policy; or presents some mixture of these three. Each section has a particular unique focus, and is worth a different amount of the total points available on the examination. The point weights are given in the heading for each section in the exam problem, repeated here for clarity: Issue Analysis (45%); Doctrine Application "IRAC" Section (30%); Policy Analysis Section (25%).

# 2. Open Book

This is an "open materials" exam. You may use course notes or outlines (prepared by yourself or others), commercial outlines, and other similar materials. You may not communicate or collaborate with anyone during the exam or obtain direct or indirect information or assistance from anyone. You may not use any live or electronic retrieval/computer source during the exam.

## 3. Single-Part, Multi-Section Exam

This is a single-part examination. If you finish the analysis for a section, go immediately to the next section.

Given the point percentages for the three sections disclosed above, one logical division of time in a three hour (3) examination is to spend 30 minutes reading the examination sections and planning one's answer. Then, the remaining time would be spent as follows: 68 minutes writing the analysis for the first section worth 45% of the points; 45 minutes writing the analysis for the second section worth 30% of the points; and 37 minutes writing the analysis for the third section worth 25% of the points.

If one instead reads each section and wrote the answer for that section before going on to the next section, the suggested total time allocation for each section would be: 80 minutes for the first section worth 45% of the points; 55 minutes for the second section worth 30% of the points; and 45 minutes for the third section worth 25% of the points.

#### 4. Time Allotted

The final exam is three (3) hours in length.

## 5. Page Length

The problem and fact pattern is six (6) pages in length, pages 6 to 11 herein.

#### 6. Release Prohibited

I have not determined whether I will make this examination available in the future. Accordingly, I am prohibiting it from release. Please return all problem pages. Copying, reproducing, or memorializing the problem in any form or fashion is prohibited.

## 7. *Other Instructions*

If using a blue book, please write on only one side of the page. Put your Exam Number on each blue book.

Please bring your copy of the assigned casebook to the examination. Besides the course casebook, also bring the following to the examination in printed form: (i) the TRIPS treaty; (ii) the Paris Convention; (iii) the Berne Convention; and (iv) the course overheads.

The law applicable to this examination is the law covered in this course from: the assigned reading from the casebook and any assigned supplement, and additional law (if any) provided in the course overheads (collectively, the "Materials"). In my upper level Intellectual Property courses the Materials also include the primary statutory, regulatory, or treaty-based provisions relating to the assigned reading materials. Be sure to answer all questions on the basis of the law provided in the Materials.<sup>a</sup>

Write an analysis for each of the issue(s) raised by the facts or information enumerated in the examination sections. At the end of each section the focus or "call" of the question is given in a short paragraph enclosed in a rectangle.

Organize your written answer logically by the three sections of the examination. Your written answer does not need a general introduction. Proceed immediately to analyzing the issues, problems or questions in each section.

The sections vary in the degree to which they suggest incorporating policy analysis. One section, the third, overtly suggests policy analysis. Another, the second, explicitly suggests traditional IRAC analysis: Issue, Rule, Application and Conclusion. The first section calls for elements of both types of analysis within a particular framework studied in class.

Organize your answer for each section in a logical, orderly way. In most cases that means you won't organize your answer explicitly using the questions/assignments in the rectangle as headings for your answer. Your answer should address the questions/assignments in the rectangle containing the "call" of the question, but typically the questions/assignments themselves do not make a good organizational vehicle.

The policy oriented section is designed to allow one to employ some of the various policy arguments that arose during the course. These arguments include, without limitation: institutional considerations for the various treaties, structures and organizations underlying the international intellectual property system; effects and causes of these structures and systems; efficacy,

There may be some situations where the Materials provide alternative rules or tests for resolving a specific legal issue. In these instances, the "majority" rule is the rule or test relied on by the majority in a primary case in the casebook/supplement. Any other different tests or rules, (which could be multiple) whether mentioned by the majority opinion, offered in a dissent, described in the notes to the case, or given in the overheads, are alternative or "minority" rules. This instruction does not necessarily mean that issues exist in this examination requiring the application of alternative or minority rules. And, it may or may not be necessary to analyze any or all such alternative or minority rules depending on the specific examination instructions and/or the facts provided.

reliability, fairness and justification of the international intellectual property system; and the impact of all this on individuals, companies, multinational enterprises (MNEs), countries, and regional trade groupings or other regional divisions. This listing, however, is not necessarily a good way to organize the analysis. A productive organization of the analysis depends on the context of the problem(s), dispute(s) or question(s) posed in the policy-oriented section.

Application and deployment of these and other arguments is the emphasis of the "policy" section. Some may view the question(s) in the "policy" section as having two "sides" along political or other ideological lines. Even assuming this view, however, an answer does not earn points by picking the "right" or "best" side of the issue, but rather by effectively marshalling arguments for the facets of the issue.

The "policy" section, however, is not completely divorced from the doctrine studied in the course. Question(s) in the "policy" section may require familiarity with or recognition of the doctrine studied in the course in the context of specific course cases and examples.<sup>b</sup>

For the Issue Analysis and IRAC sections, write a short analysis for each of the issues raised by the facts enumerated in the examination question, *based only on the law from the International Intellectual Property course*. The analysis should communicate the following as briefly as possible based on the facts available: (i) discuss the arguments, positions and intellectual property rights that the plaintiff/initiator should assert, or has asserted,<sup>c</sup> against the defendant(s)/respondent(s); (ii) evaluate the arguments and substantive merits from each side's perspective, articulating defenses and counter-arguments each should/might assert; (iii) assess the strength of each party's arguments; and (iv) determine for each issue who is likely to prevail and explain why. Your written answer, however, should not be organized according to these four points.

Rather, for each issue, your analysis should communicate the issue, and then state/apply the law to the issue's facts (applying counterarguments as well), and then conclude on the issue. An exception to this is that there is no need to restate a legal test that has already been stated; simply refer to the previous statement of the rule. For example, if there is a second copyright issue under the three-step test, and you have already related the three-step elements for an earlier

Some of my examinations may include issues related to patent law. Some of these issues may spring from facts surrounding an amendment of a patent claim during patent procurement or "prosecution." If the examination discusses an amendment to a patent claim, it will use the following notation to describe the amendment: additions are in <u>double underline</u> and deletions are in <u>strikeout</u>. For example, assume a patent's claim one states: "a widget comprising: a green base and three legs". The inventor wants to amend the claim to cover a blue base with four legs. The amended claim would appear as follows: "a widget comprising: a <u>green-blue</u> base and <u>three-four</u> legs".

The examination question may be written in such a way that certain issues are clearly "in" the case/dispute because they have been asserted by either party. You should analyze these issues, but there may be other issues to be analyzed as well because the examination question is silent about whether they have been, will, or will not be asserted by either side. In addition, the examination question may also indicate that certain other possible issues are "out" and not to be analyzed because the parties disclaim certain issues or protections.

issue, you can abbreviate your analysis by directly applying the law to the facts and concluding. Another way to say this is that if a second issue arises where there is a need to apply a legal test already related and discussed, you may analyze the second issue by exception, i.e., discussing the differences in application and outcome.

If you believe that there are any additional critical yet unsupplied facts that would materially impact the outcome of a particular issue, you should note what such facts would be. In such case, *briefly* describe how such critical facts might impact the outcome, i.e., indicate *at most one and only one* differing result that would ensue from different reasonable factual assumptions about such unsupplied facts.<sup>d</sup>

As a general matter, discuss any invalidity/protectability issues before any infringement issues. For example, if analyzing a mark, discuss any invalidity issues before infringement.

Your written answer does not need a general introduction. Proceed immediately to analyzing the issues. The location of final jurisdiction and/or venue for the expected case/dispute is not a part of the analysis.<sup>e</sup>

Apply only the majority rules from the applicable law. Thus, your analysis can ignore any significant outcome-determinative differences in majority/minority rules and need not supply/apply minority rules. Probably the only way in which minority rules or dissents are relevant is that they sometimes provide inspiration for counterarguments.

You should analyze clearly presented (either explicitly or by the facts) infringement issues in the case/dispute even if your analysis determines that the relevant item of intellectual property is invalid, unenforceable or not properly the subject matter of protection. An example of this principle in trademark law is the assertion of product shape/design as a mark, but where the trademark defendant/respondent might have a functionality defense. In a real court opinion, if the court holds that the defendant/respondent wins on the functionality issue, the court would typically not analyze the likelihood of confusion test to determine if the accused product shape infringes the product shape/design allegedly functioning as a mark. Your analysis, however, should evaluate both the functionality defense and infringement if clearly presented: even if you conclude that the shape/design is functional and thus not the proper subject matter of protection as a mark, go on to analyze whether the likelihood of confusion test is met for infringement of the shape/design mark. Similar examples exist within other areas of intellectual property.

Please note that if you find yourself discussing alternative outcomes for supposedly critical yet unsupplied facts for every issue you analyze, you are probably engaging in too much analysis of such alternative outcomes.

Despite this jurisdictional orientation, the issues in this examination do not include jurisdictional and procedural issues, but rather focus on the substantive International Intellectual Property law and rights from the course materials. In addition, you are to analyze and discuss the probable ultimate outcomes under the substantive law studied. Do not analyze any intermediate standards, such as likelihood of success in obtaining a preliminary injunction. In addition, we did not focus on the details of potential remedies or damages, so do not discuss these items.

In this vein, some intellectual property embodiments may have multiple issues of invalidity charged against them. Each invalidity issue raised by the problem's facts should be evaluated even if your analysis determines that a right is invalid due to one of the raised issues.

Finally, for any claimed inventions discussed on this examination, prior art does not come from real life. Prior art references come only from what is given in the examination.

<u>HONOR CODE</u>: Turning in an examination answer to this final examination is deemed to be a pledge under the Law Center honor code that the exam taker has complied with the honor code in all respects in relation to this examination.

(the examination problem starts on the next page)