IP Survey

- Module 4
- Copyright

Yu, The Copyright Divide

- Views of
  - Dickens
  - Trollope
  - Twain

- What changed since the founding to cause the U.S. to become interested in heightened copyright protection?

works of artistic craftsmanship insofar as their form but not their mechanical or utilitarian aspects are concerned

Test:
- an expressive element of a useful article is physically separable if it can stand alone from the article as a whole and if such separation does not impair the utility of the article


§101

"Pictorial, graphic, and sculptural works" include two-dimensional and three-dimensional works of fine, graphic, and applied art, photographs, prints and art reproductions, maps, globes, charts, diagrams, models, and technical drawings, including architectural plans. Such works shall include works of artistic craftsmanship insofar as their form but not their mechanical or utilitarian aspects are concerned; the design of a useful article, as defined in this section, shall be considered a pictorial, graphic, or sculptural work only if, and only to the extent that, such design incorporates pictorial, graphic, or sculptural features that can be identified separately from, and are capable of existing independently of, the utilitarian aspects of the article.

A "useful article" is an article having an intrinsic utilitarian function that is not merely to portray the appearance of the article or to convey information. An article that is normally a part of a useful article is considered a "useful article".
### Copyright contrasted with Patent

<table>
<thead>
<tr>
<th>Patent</th>
<th>©</th>
</tr>
</thead>
<tbody>
<tr>
<td>Subject matter</td>
<td>Subject matter (expression / idea; merger; functionality)</td>
</tr>
<tr>
<td>Utility</td>
<td>Threshold – original expression fixed in a tangible medium</td>
</tr>
<tr>
<td>Novel – not anticipated</td>
<td>n/a (independent development is a defense)</td>
</tr>
<tr>
<td>Nonobvious</td>
<td>n/a</td>
</tr>
<tr>
<td>Specification support (written des., enablement, best mode, definiteness)</td>
<td>Formalities (notice-publication), registration, deposit</td>
</tr>
<tr>
<td>Duration (20)</td>
<td>Duration (life + 70 for individuals)</td>
</tr>
<tr>
<td>Inventorship and Ownership</td>
<td>Authorship and Ownership</td>
</tr>
<tr>
<td>Right to exclude others who make, sell, use, offer for sale, or import</td>
<td>Right to prevent unauthorized exercise of the rights granted by a valid copyright: (i) reproduction (copying); (ii) derivative works; (iii) distribution; (iv) public display; and (v) public performance</td>
</tr>
<tr>
<td>n/a</td>
<td>Limitations to the exclusive rights: Fair Use and others; first sale limitation on distribution right; limits on display right</td>
</tr>
<tr>
<td>Infringement - literal and DOE analysis</td>
<td>Infringement – analysis on a right by right basis; reproduction right infringement has two elements: (i) copying (actual copying); and (ii) improper appropriation (legal copying)</td>
</tr>
</tbody>
</table>
A&M Records, Inc. v. Napster, Inc., 239 F.3d 1004 (9th Cir. 2001)

- Collective directory to show availability of currently connected client computers
- Uploading file names violates distribution right
- Downloading files violates reproduction right
- Fair Use?
  - Purpose & character
    - Not transformative
    - Commercial
  - Nature of the work
  - Amount used
  - Effect on market


- Issue?
  - Copyright Term Extension Act (CTEA)
  - Prior extensions?
    - Major – 1831, 1909, 1976
  - Apply to existing and future works?
- Limited Times
  - Life plus 70?
- Why did the U.S. enact the CTEA?
  - Interest groups?
  - Int’l pressures?
- Outcome?
  - originality?
  - progress?
  - quid pro quo?
  - First Amendment?
Copyright protection subsists, in accordance with this title, in original works of authorship \textit{fixed} in any tangible medium of expression, now known or later developed, from which they can be perceived, reproduced, or otherwise communicated, either directly or with the aid of a \textit{machine} or \textit{device}.

- Words in blue bold italics have definitions in section 101.
- The definitions section also describes various types of "works of authorship".

\textbf{§101} - A work is "fixed" in a tangible medium of expression when its embodiment in a copy or phonorecord, by or under the authority of the author, is sufficiently permanent or stable to permit it to be perceived, reproduced, or otherwise communicated for a period of more than transitory duration. A work consisting of sounds, images, or both, that are being transmitted, is "fixed" for purposes of this title if a fixation of the work is being made simultaneously with its transmission.

\textbf{37 C.F.R. § 202.1} - The following are examples of works not subject to copyright and applications for registration of such works cannot be entertained:

- (a) Words and short phrases such as names, titles, and slogans; familiar symbols or designs; mere variations of typographic ornamentation, lettering or coloring; mere listing of ingredients or contents;
- (b) Ideas, plans, methods, systems, or devices, as distinguished from the particular manner in which they are expressed or described in a writing;
- (c) Blank forms, such as time cards, graph paper, account books, diaries, bank checks, scorecards, address books, report forms, order forms and the like, which are designed for recording information and do not in themselves convey information;
- (d) Works consisting entirely of information that is common property containing no original authorship, such as, for example: Standard calendars, height and weight charts, tape measures and rulers, schedules of sporting events, and lists or tables taken from public documents or other common sources.
- (e) Typeface as typeface.
### Idea-Expression Dichotomy

| **102(a)** | Copyright protection subsists, in accordance with this title, in original works of authorship . . . *expression* |
| **102(b)** | In no case does copyright protection for an original work of authorship extend to any idea, procedure, process, system, method of operation, concept, principle, or discovery, regardless of the form in which it is described, explained, illustrated, or embodied in such work. *idea* |

### Illustrative Works - § 102

1) **literary works**
   - including non-literal elements such as structure, organization and sequence, but not extending to names, titles and slogans; the less developed a literary character, the less it can be copyrighted
2) **musical works**, including any accompanying words
3) **dramatic works**, including any accompanying music
4) **pantomimes and choreographic works**
   - Protection extends to written or otherwise fixed instructions for performing a work of art
5) **pictorial, graphic, and sculptural works**
   - Useful article doctrine poses a significant limitation on the scope of protection; scope of protection runs with degree to which author has delineated the subjects of the work; In some cases, such as photographs, drawings and maps, the limited range of expressive choices necessarily limits the scope of protection
6) **motion pictures and other audiovisual works**
7) **sound recordings**
8) **architectural works**
   - New category after Berne implementation in US law; pictorial representations permitted (if building visible from a public place); alterations and destruction allowed, regardless of 106(2)
Illustrative Works - § 102

1) literary works
2) musical works, including any accompanying words
   • Work must be original in its melody, harmony or rhythm, individually or in combination.
     • But, rhythm is the least likely aspect in which originality may be manifested
   • Non-dramatic musical compositions are subject to a compulsory license once released to the public – “cover license” under § 115
3) dramatic works, including any accompanying music
4) pantomimes and choreographic works
5) pictorial, graphic, and sculptural works
6) motion pictures and other audiovisual works
7) sound recordings
   • Since 1972, sound recordings are protectable independently of the musical, dramatic, or literary works which are recorded; they are a separate work; does not include sounds accompanying a motion picture or audiovisual work; no mechanism such as the “cover license;” embodied in a “phonorecord”
   • No general public performance right
   • Sometimes not clear who the “author” of a sound recording is; singer, band, studio engineer? – typically handled by contract
8) architectural works
Derivative Works; Compilations

- is "based upon one or more preexisting works . . . [and is any] form in which a work may be recast, transformed, or adapted"
- Examples include:
  - translation, musical arrangement, dramatization, fictionalization, motion picture version, sound recording, art reproduction, abridgment, condensation
  - a work consisting of editorial revisions, annotations, elaborations, or other modifications which, as a whole, represent an original work of authorship
- Why have derivative works protection?
  - Chain of products; new expression from public domain materials; different markets & licensing
- Compilations
  - a work formed by the collection and assembling of preexisting materials or of data that are selected, coordinated, or arranged in such a way that the resulting work as a whole constitutes an original work of authorship. The term "compilation" includes collective works
- Effect of section 103?

Exclusive Rights in © Works - § 106

- Subject to sections 107 through 121, the owner of copyright under this title has the exclusive rights to do and to authorize any of the following:
  - (1) to reproduce the copyrighted work in copies or phonorecords [material object in which sound is fixated . . . ];
  - (2) to prepare derivative works based upon the copyrighted work;
  - (3) to distribute copies or phonorecords of the copyrighted work to the public by sale or other transfer of ownership, or by rental, lease, or lending;
  - (4) in the case of literary, musical, dramatic, and choreographic works, pantomimes, and motion pictures and other audiovisual works, to perform the copyrighted work publicly;
  - (5) in the case of literary, musical, dramatic, and choreographic works, pantomimes, and pictorial, graphic, or sculptural works, including the individual images of a motion picture or other audiovisual work, to display the copyrighted work publicly; and
  - (6) in the case of sound recordings, to perform the copyrighted work publicly by means of a digital audio transmission
**Burrow-Giles Lithographic Co. v. Sarony, 111 U.S. 53 (1884)**

- Plaintiff photographer, Sarcony
- Defendant lithographic company has made reproductions and distributed them
- To what in the picture does copyright attach?
- What other types of pictorial or graphic works are similar?
- Impact of photography as new technology?

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**Copyright – fixation – wisdom from the Copyright Office**

**How do I protect my sighting of Elvis?**

Copyright law does not protect sightings. However, copyright law will protect your photo (or other depiction) of your sighting of Elvis. Just send it to us with a form VA application and the $30 filing fee. No one can lawfully use your photo of your sighting, although someone else may file [her] own photo of [her] sighting. Copyright law protects the original photograph, not the subject of the photograph.
Bleistein v. Donaldson Lithographing Co., 188 U.S. 239 (1903)

- Should copyright protection attach to posters used to advertise a circus?
- Not “fine art”?  

Does this matter?


- RTS has control of telephone white pages listings for part of the area covered by Feist’s NW KS directory
  - What does Feist first attempt to get access to the listings?
- In what way are Feist and RTS competitors?

- How does RTS prove that copying occurred?

- Subject matter at issue?
  - Facts versus compilations of facts?

- Standard of originality?
  - Independently created
  - Modicum of creativity

- To which “components” of the work does copyright extend?
  - Selection and arrangement

- Disposition of issue in case?
  - Is RTS’ selection and arrangement protectable?


- If Selden’s forms (or something in his book) are protectable, is what Baker took infringement?
  - Is Baker’s expression a copy of, or similar to, Selden’s expression?

- Assuming that there is protectable subject matter in Selden’s book
  - Is what Baker took part of that?

- Expression is protected
  - “conveying information”
Morrissey v. Procter & Gamble (1st 1967) - Merger

1. Entrants should print name, address and Social Security number on a Tide boxtop, or on [a] plain paper. Entries must be accompanied by Tide boxtop (any size) or by plain paper on which the name 'Tide' is copied from any source. Official rules are available on Tide Sweepstakes packages, or on leaflets at Tide dealers, or you can send a stamped, self-addressed envelope to: Tide 'Shopping Fling' Sweepstakes, P.O. Box 4459, Chicago 77, Illinois.

If you do not have a Social Security number, you may use the name and number of any member of your immediate family living with you. Only the person named on the entry will be deemed an entrant and may qualify for a prize.

Use the correct Social Security number, belonging to the person named on the entry—wrong numbers will be disqualified.

● Merger Doctrine
  ● Where there are only one or a few ways to express an idea, not copyrightable
  ● Otherwise, effectively grants protection to the idea
    ● Exhaust all possibility for future use

● “Thin” copyright? – limits on the number of ways to express
  ● Effect on protection?

Idea-Expression Dichotomy

● Cookbook example
  ● List of ingredients
  ● Description of specific steps
  ● Pictures illustrating techniques
  ● Pictures illustrating finished dishes
  ● Description of history of dishes

● Which elements are a “procedure, process, or system”?
Boyle, The Search for an Author: Shakespeare and the Framers

- Conceptions of authorship
  - Romantic
    - heretical
    - bardolatrous
  - Shakespeare as . . .
- Why might medieval Europe put copyists and scribes above the author?
- Craft + external inspiration
- Internal inspiration

Thomson v. Larson, 147 F.3d 195 (2d Cir. 1998)

- Thomson’s role in 1995 and early 1996 in relation to helping Larson
- Possible standards
  - Unitary Whole?
  - Two-pronged test?
- Independently copyrightable contribution
- Intended to be co-authors
  - Mutual
  - Indicia of ownership / authorship
  - Dominant author situations
Works for Hire

- § 101 - A "work made for hire" is –
  - (1) a work prepared by an employee within the scope of his or her employment; or
  - (2) a work specially ordered or commissioned for use as a contribution to a collective work, as a part of a motion picture or other audiovisual work, as a translation, as a supplementary work, as a compilation, as an instructional text, as a test, as answer material for a test, or as an atlas,
  - if the parties expressly agree in a written instrument signed by them that the work shall be considered a work made for hire.
    - For the purpose of the foregoing sentence, a "supplementary work" is a work prepared for publication as a secondary adjunct to a work by another author for the purpose of introducing, concluding, illustrating, explaining, revising, commenting upon, or assisting in the use of the other work, such as forewords, afterwords, pictorial illustrations, maps, charts, tables, editorial notes, musical arrangements, answer material for tests, bibliographies, appendixes, and indexes, and
    - an "instructional text" is a literary, pictorial, or graphic work prepared for publication and with the purpose of use in systematic instructional activities.

- works prepared by employees AND within the scope of employment (and also § 201(b) requirement that work be prepared FOR the employer)

Hays v. Sony Corp. of America, 847 F.2d 412 (7th Cir. 1988)

- District court action

- Was the authorship within the scope of employment?

- Other examples . . .

- § 201(c) Contributions to Collective Works. - Copyright in each separate contribution to a collective work is distinct from copyright in the collective work as a whole, and vests initially in the author of the contribution. In the absence of an express transfer of the copyright or of any rights under it, the owner of copyright in the collective work is presumed to have acquired only the privilege of reproducing and distributing the contribution as part of that particular collective work, any revision of that collective work, and any later collective work in the same series.


- Activity of authors
- Print publications
- Electronic publications
  - Nexis
  - NYTO
  - GPO
- Issue?

- Basis of Supreme Court’s decision
  - Similarity of format and presentation
  - Effect of “media neutrality”
- “strange library” hypothetical

- dissent
**Formalities**

<table>
<thead>
<tr>
<th></th>
<th>Notice</th>
<th>Publication</th>
<th>Registration</th>
<th>Deposit (library)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1909 Act</strong></td>
<td>Required, must provide date,</td>
<td>Required (divestive /</td>
<td>Not required, but prerequisite for renewal or</td>
<td>“Mandatory, &quot; some</td>
</tr>
<tr>
<td></td>
<td>author,</td>
<td>investive)</td>
<td>bringing claim</td>
<td>forfeiture</td>
</tr>
<tr>
<td></td>
<td>copyright word/symbol</td>
<td>[not defined]</td>
<td></td>
<td></td>
</tr>
<tr>
<td>**1976 Act /</td>
<td>Still required, but more lenient</td>
<td>Not required, but</td>
<td>Not required, but: (i) prima facie validity</td>
<td>“Mandatory, &quot; but only</td>
</tr>
<tr>
<td>pre-Berne</td>
<td>if fail to provide notice</td>
<td>triggers notice</td>
<td>(ii) required before claim</td>
<td>penalty is a fine</td>
</tr>
<tr>
<td></td>
<td></td>
<td>requirement [defined</td>
<td>(iii) statutory damages and fees</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>term]</td>
<td></td>
<td></td>
</tr>
<tr>
<td>**1976 Act /</td>
<td>Not required, but if notice,</td>
<td>Not required</td>
<td>Same, except that for foreign (Berne country)</td>
<td>Same</td>
</tr>
<tr>
<td>post-Berne</td>
<td>limits innocent infringement</td>
<td></td>
<td>works registration is not required pre-suit</td>
<td></td>
</tr>
<tr>
<td></td>
<td>mitigation</td>
<td></td>
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**Exclusive Rights in © Works - § 106**

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  - (3) to distribute copies or phonorecords of the copyrighted work to the public by sale or other transfer of ownership, or by rental, lease, or lending;
  - (4) in the case of literary, musical, dramatic, and choreographic works, pantomimes, and motion pictures and other audiovisual works, to perform the copyrighted work publicly;
  - (5) in the case of literary, musical, dramatic, and choreographic works, pantomimes, and pictorial, graphic, or sculptural works, including the individual images of a motion picture or other audiovisual work, to display the copyrighted work publicly; and
  - (6) in the case of sound recordings, to perform the copyrighted work publicly by means of a digital audio transmission.

- Posture?
- Material Copied?
- Ownership issue?
- Evidence of Copying?
  - Direct evidence?
  - Access plus Substantial Similarity?
- Sufficient Copying to be infringement?

### Derivative Works

- § 101 Definition:
  - [A] work based upon one or more preexisting works,
    - such as a translation, musical arrangement, dramatization, fictionalization, motion picture version, sound recording, art reproduction, abridgment, condensation,
    - or any other form in which a work may be recast, transformed, or adapted.
  - A work consisting of editorial revisions, annotations, elaborations, or other modifications which, as a whole, represent an original work of authorship, is a "derivative work"
Derivative Works

• § 103
  • (a) copyright . . . includes compilations and derivative works, but protection for a work employing preexisting material in which copyright subsists does not extend to any part of the work in which such material has been used unlawfully
  • (b) copyright in a compilation or derivative work extends only to the material contributed by the author of such work, as distinguished from the preexisting material employed in the work, and does not imply any exclusive right in the preexisting material. The copyright in such work is independent of, and does not affect or enlarge the scope, duration, ownership, or subsistence of, any copyright protection in the preexisting material.

Derivative Works

<table>
<thead>
<tr>
<th>Derivative Work</th>
<th>Compilation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Underlying work tends to pervade</td>
<td>infringing portion is easily severable; scope of the compilation author’s authorship is easily identifiable (ascertainable).</td>
</tr>
<tr>
<td>New screen play for a new story using only previously developed characters</td>
<td>Poetry anthology</td>
</tr>
</tbody>
</table>

- Uncertainty as to what is and is not a derivative work
- Principle of “severability”
- General rule is that a derivative work using underlying material unlawfully is not eligible for copyright protection itself
Derivative Works – contrast with non-literal infringement of the reproduction right

- Question and correct answers rooted in a fictional moment from the show
  - Incorrect answers by Golub

- Copying that is sufficient to meet substantial similarity?
  - From episodes, or show?
    - quantitative
    - qualitative

- Test for substantial similarity inapplicable here
  - Ordinary observer
  - Total concept and feel
  - Fragmented literal similarity / comprehensive nonliteral similarity
Steinberg v. Columbia Pictures (S.D.N.Y. 1987)

Bobbs-Merrill Co. v. Straus, 210 U.S. 339 (1908)
Twentieth Century Music Corp. v. Aiken, 422 U.S. 151 (1975)

Some customers carry out the food they purchase, while others remain and eat at counters or booths. Usually the ‘carry-out’ customers are in the restaurant for less than five minutes, and those who eat there seldom remain longer than 10 or 15 minutes.

A radio with outlets to four speakers in the ceiling receives broadcasts of music and other normal radio programming at the restaurant. Aiken usually turns on the radio each morning at the start of business. Music, news, entertainment, and commercial advertising broadcast by radio stations are thus heard by Aiken, his employees, and his customers during the hours that the establishment is open for business.

- Fortnightly and Teleprompter cases (cable TV)
- Making this a public performance is inequitable
  - Have to leave radio off to be sure of no infringing broadcasts
  - More licensing revenue tribute than necessary; unwieldy to collect?

Fair Use

- Notwithstanding the provisions of sections 106 and 106A, the fair use of a copyrighted work,
  - including such use by reproduction in copies or phonorecords or by any other means specified by that section,
  - for purposes such as criticism, comment, news reporting, teaching (including multiple copies for classroom use), scholarship, or research, is not an infringement of copyright.

- In determining whether the use made of a work in any particular case is a fair use the factors to be considered shall include -
  - (1) the purpose and character of the use, including whether such use is of a commercial nature or is for nonprofit educational purposes;
  - (2) the nature of the copyrighted work;
  - (3) the amount and substantiality of the portion used in relation to the copyrighted work as a whole; and
  - (4) the effect of the use upon the potential market for or value of the copyrighted work.
- The fact that a work is unpublished shall not itself bar a finding of fair use if such finding is made upon consideration of all the above factors

- Contributory Infringement
  - One who with knowledge of the infringing activity induces, causes, or materially contributes to the infringing conduct of another, may be held liable as a “contributory infringer”
    - What knowledge did Sony have?

- Staple article of commerce doctrine
  - Balancing a copyright holder’s legitimate demand for protection, and the rights of others to engage in substantially unrelated areas of commerce
  - Sale of a product does not constitute contributory infringement if the product is widely used for legitimate purposes; it need merely be capable of substantial noninfringing uses
    - Dissent’s test – primary purpose and effect of the device

- With respect to authorized time shifting . . .
  - Some content producers approved, in part because such time shifting had viewer-increasing potential

- Fair Use defense for unauthorized time shifting
  - purpose and character of the use
    - time shifting for private home use is a noncommercial, nonprofit activity
    - time shifting yields societal benefits in expanding access to free TV programming [public benefit factor?]
  - nature of the copyrighted work
    - provided free of charge
  - amount and substantiality of the portion used
    - entire work is reproduced, but this does not have its “ordinary effect of militating against a finding of fair use”
  - effect of the use upon the potential market for or value of the work [the most important factor]
    - no harm to the market has been shown: no proof of past harm to plaintiffs’ market and also no substantial likelihood of future harm

- **purpose and character of the use**
  - news reporting [of a sort – “making” news]
  - commercial, not nonprofit
  - the proprietary of the defendant’s conduct – fair use presupposes good faith and fair dealing
  - took the most expressive elements, exceeding that necessary to disseminate the facts
  - the Nation article was hastily put together and contained inaccuracies; no independent research, commentary, or criticism

- **nature of the copyrighted work**
  - unpublished
    - ordinarily, “author’s right to control the first public appearance of [her] undisseminated work will outweigh a claim of fair use”
  - historical narrative or biography – factual work to some degree
    - but, the work also had expressive descriptions of public figures

- **amount and substantiality of the portion used**
  - Nation took the “heart” of the work - the most interesting and powerful passages – because they were President Ford’s expression
    - even though the amount taken was quantitatively an insubstantial portion
  - OPPOSITE FACTOR - amount of Nation article taken from Ford’s manuscript was approximately thirteen percent of the Nation article; but it served as the focal point

- **effect of the use upon the potential market for or value of the work [the most important factor]**
  - considering the lone effect of the use, or if it became widespread; for the work and the exclusive rights attaching to the work (such as the derivative works right)
  - because Ford’s expression was quoted directly, adding a false air of authenticity to the Nation article, this use supplanted a part of the normal market

<table>
<thead>
<tr>
<th>Original song lyrics</th>
<th>Parody lyrics</th>
</tr>
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<tbody>
<tr>
<td>Pretty Woman walking down the street, Pretty Woman, the kind I like to meet, Pretty Woman, I don't believe you, you're not the truth, No one could look as good as you</td>
<td>Pretty woman walkin' down the street, Pretty woman girl you look so sweet Pretty woman you bring me down to that knee Pretty woman you make me wanna beg please Oh, pretty woman Big hairy woman you need to shave that stuff Big hairy woman you know I bet it's tough Big hairy woman all that hair it ain't legit Cause you look like 'Cousin It' Big hairy woman Bald headed woman girl your hair won't grow Bald headed woman you got a teensy weeny Afro Bald headed woman you know your hair could look nice Bald headed woman first you got to roll it with rice Bald headed woman here, let me get this hunk of biz for ya Ya know what I'm saying you look better than rice a roni Oh bald headed woman Big hairy woman come on in And don't forget your bald headed friend Hey pretty woman let the boys Jump in Two timin' woman girl you know you ain't right Two timin' woman you's out with my boy last night Two timin' woman that takes a load off my mind Two timin' woman now I know the baby ain't mine Oh, two timin' woman Oh pretty woman</td>
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<tr>
<td>Pretty Woman, walking down the street, Pretty Woman, the kind I like to meet, Pretty Woman, I don't believe you, you're not the truth, No one could look as good as you</td>
<td>Pretty woman walkin' down the street, Pretty woman girl you look so sweet Pretty woman you bring me down to that knee Pretty woman you make me wanna beg please Oh, pretty woman Big hairy woman you need to shave that stuff Big hairy woman you know I bet it's tough Big hairy woman all that hair it ain't legit Cause you look like 'Cousin It' Big hairy woman Bald headed woman girl your hair won't grow Bald headed woman you got a teensy weeny Afro Bald headed woman you know your hair could look nice Bald headed woman first you got to roll it with rice Bald headed woman here, let me get this hunk of biz for ya Ya know what I'm saying you look better than rice a roni Oh bald headed woman Big hairy woman come on in And don't forget your bald headed friend Hey pretty woman let the boys Jump in Two timin' woman girl you know you ain't right Two timin' woman you's out with my boy last night Two timin' woman that takes a load off my mind Two timin' woman now I know the baby ain't mine Oh, two timin' woman Oh pretty woman</td>
</tr>
</tbody>
</table>

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### Purpose and Character of the Use

- Inquiry driven by the examples in the preamble; supplant the original or add something new?
- Parody versus satire
- Threshold question:
  - Can a parodic character reasonably be perceived?

### Nature of the Copyrighted Work

- Fair use more difficult to establish when works copied are at the "core" of copyright
- Original song is at the core, but this does not significantly help the analysis since parodies almost invariably copy publicly known, expressive works

- Amount and substantiality of the portion used
  - Reasonable in relation to the copyrighted work as a whole? or reasonable in relation to the purpose of the copying?
    - This factor is assessed from facts that also underlie the fourth factor in assessing whether the parody is a substitute for the original
  - Parody needs to be able to take enough to "conjure up" its parodic twin – create a "recognizable allusion to its object through distorted imitation"
  - Remand for evaluation of this factor – for "repetition of the base riff" in the overall context of parody fair use

- Effect of the use upon the potential market for or value of the work
  - Consider harm from the specific copying, and the potential harm if such copying is widespread and aggregated – harm to the market
    - via market substitution for the original or legitimate derivative works of it
  - No presumption of market harm when the "copying" is beyond mere duplication, even if for commercial purposes
    - In Sony, the copy was a verbatim copy, a clear market substitute
  - There is no protectable derivative market for criticism
    - Originators unlikely to trade in a market of works criticizing the original
  - Also have to remand for evaluation of this factor
    - the parody’s effect on the market for non-parody, rap derivative works of the original

Sid & Marty Krofft v. McDonald’s Corp., 562 F.2d 1157 (9th Cir. 1977)

- Copyrighted work?
  - Fanciful characters
  - Fantasyland of Living Island

- Licensing of copyrighted work?

- Alleged infringing copy?
  - McDonaldland

- Substantial Similarity
  - Extrinsic test part
  - Intrinsic part based on ordinary reasonable person – total concept and feel

- Access
**Nichols v. Universal**

Religious zealotry in controlling the love interests of one’s offspring

Two fathers, each of whom exhibit religious zealotry and seek to control the love interests of their offspring who happen to fall in love

The story of two fathers, one who is Jewish but whose son secretly marries an Irish Catholic girl, and whose religious zealotry causes him to reject the marriage; similarly the girl's father’s zealotry causes him to reject the marriage; the fathers estrange the couple, but later yearn to see a new grandchild, meet, and are reconciled in the glow of grandparental affection.

[... EVEN MORE DETAIL AND DESCRIPTION OF THE PLOT AND CHARACTERS ...]

**Three Boys Music Corp. v. Bolton, 212 F.3d 477 (9th Cir. 2000)**

- Isley Brothers’ “Love is a Wonderful Thing”
- Bolton’s “Love is a Wonderful Thing”
- Ownership
- Infringement:
  - Copying: access plus substantial similarity (intrinsic/extrinsic)
  - Improper Appropriation

Indeed, this is a more attenuated case of reasonable access and subconscious copying than ABKCO [Harrison]. In this case, the appellants never admitted hearing the Isley Brothers’ “Love is a Wonderful Thing.” That song never topped the Billboard charts or even made the top 100 for a single week. The song was not released on an album or compact disc until 1991, a year after Bolton and Goldmark wrote their song.