

Course: International Corporate Compliance

Instructor: Ryan McConnell

CONTENT: Corporate compliance, one of the fastest growing markets for legal services, addresses the problems of organizations struggling to manage risk ranging from corruption to data privacy. This class will teach you the process involved in addressing compliance risk. We will discuss a number of substantive compliance areas, but the goal of the class is to teach you how to develop a risk-based approach to solve compliance problems. Periodically, guest speakers will participate in the class.

REQUIRED TEXT: Course Packet from UH Copy Center.

GRADE: The grade in this class will be based on an exam given at the end of the semester. The exam will consist of short answer/essay style questions. The questions will follow a compliance problem that you must solve. You will write all of your answers on the exam – you will not be permitted to use a computer or notes.

ATTENDANCE: You must follow the University of Houston’s attendance policy.

PARTICIPATION: The class will be more fun if you participate in discussions and make a good faith effort to read all of the materials.

OFFICE HOURS: Email me and I’ll meet with you – rdm@mcconnellsosvany.com.

*** Notice:** I don’t just teach compliance, I have an active practice that will occasionally require me to miss class because of foreign travel. I will reschedule these classes for a Friday during our regularly scheduled class time.

CLASS SCHEDULE:

1. Getting To Know You the Class – Your Expectations (Jan 13)
2. Government Regulators and Expectations (Jan 15)
3. Government Regulators and Expectations (cont.) (Jan 22)
4. Compliance Building Blocks – The Basics (Jan 22)
5. Compliance Building Blocks – Building Program (cont.) (Jan 27)
6. Building Block Focus: Leadership – Code of Conduct (with Shane Kimzey, CEVA Logistics) (Jan 29)

7. Building Block Focus: Dealing with Third Parties (Feb 3)
8. Selling Your Program To Your Business (with Mary Moreland, Quanta Services) (Feb 5)
9. Global Privilege (Feb 10)
10. Global Privilege (cont.) (Feb 12)
11. Building Block Focus: Risk Assessments (Feb 17)
12. Building Block Focus: Communication (with Jennifer Hardin, Conoco Phillips) (Feb 19)
13. Review (Feb 24)
14. Building Block Focus: Internal Investigations (Feb 26)
15. Investigations (with Dianne Ralston, Schlumberger) (Mar 3)
16. Area Focus: Trade (with Andrew Corley, CEVA Logistics) (Mar 5)
17. Area Focus: Privacy (with Michelle Jee, McConnell Sovany) (Mar 17)
18. Area Focus: HSE (with Dan Sullenbarger, Marathon (ret.)) (Mar 19)
19. Area Focus: Anti-Corruption (with David Searle, Nexeo Solutions) (Mar 24)
20. Private Lawsuits (with Herrick Sovany, McConnell Sovany) (Mar 26)
21. Global Laws and Regulations (Mar 31)
22. Building Block Focus: Audits (with Karyl Van Tassell, PWC) (Apr 2)
23. Review (Apr 7)
24. Dealing with Compliance Failures (with Brady Long, Ensco) (April 9)
25. Board Focus: What Do They Want To Know and Why (with Jay Martin, Baker Hughes) (Apr 14)
26. Building Block Focus: Training (with Margaret Mousoudakis, Lyondell Bassell) (Apr 16)

27. Using Technology to Support Compliance
28. Final Review (Apr 23)
29. Night Class – Happy Hour/Quiz Night at McConnell Sovany (with Herrick Sovany and other guest speakers) (Apr 28)